

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	: W. Keith Edwards, Mark W. Newman, Jana Z.) Sedivy, Trevor F. Smith, Diana K. Smetters,) Dirk Balfanz, and Paul Stewart)	Examiner: To be assigned
Serial No.	: To be assigned)	Art Unit: To be assigned
Cnfrm. No.	: To be assigned)	
Filed	: Herewith)	
For	: SYSTEM AND METHOD FOR SECURELY) CONTROLLING COMMUNICATIONS)	

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.97-1.98

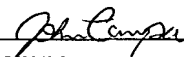
Mail Stop: Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the
United States Patent and Trademark Office, the enclosed references listed on the attached
PTO-1449 form.

Respectfully submitted,

Date: July 18, 2003


John Campa
Registration No. 49,014

NIXON PEABODY LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603
Telephone: (585) 263-1519
Facsimile: (585) 263-1600

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	To be Assigned
				Filing Date	Herewith
				First Named Inventor	W. Keith Edwards
				Art Unit	To be Assigned
Examiner Name	To be Assigned				
Sheet	1	of	1	Attorney Docket Number	1508/3900 (D/A3285)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	1	US-20020156795	10/24/2002	Edwards et al.	
	2	US-20020141582 A1	10/03/2002	Kocher et al.	
	3	US-6,289,455	09/11/2001	Kocher et al.	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	4	Kocher et al., "Self-Protecting Digital Content," Cryptography Research, Inc., pp. 1-3 (2002) [Retrieved from the Internet at http://www.cryptography.com/resources/whitepapers/SelfProtectingContent_sum.pdf on July 10, 2003.]		
	5	U.S. Patent Application Serial No. 10/052,585 filed 1/23/2002 by Newman et al., titled "SYSTEM AND METHOD FOR PROVIDING CONTEXT INFORMATION."	✓	
	6	U.S. Patent Application Serial No. 10/058,268 filed 1/29/2002 by Edwards et al., titled "SYSTEM AND METHOD FOR ENABLING ARBITRARY COMPONENTS TO TRANSFER DATA BETWEEN EACH OTHER."	✓	
	7	U.S. Patent Application Serial No. 10/212,377 filed 8/1/2002 by Edwards et al., titled "SYSTEM AND METHOD FOR ENABLING COMPONENTS ON ARBITRARY NETWORKS TO COMMUNICATE."	✓	
	8	U.S. Patent Application Serial No. 10/212,375 filed 8/1/2002 by Edwards et al., titled "METHOD AND SYSTEM FOR HANDLING DATA."	✓	
	9	U.S. Patent Application Serial No. 10/212,376 filed 8/1/2002 by Edwards et al., titled "SYSTEM AND METHOD FOR CONTROLLING COMMUNICATION."	✓	
	10	U.S. Patent Application Serial No. 10/442,582 filed 5/21/2003 by Edwards et al., titled "SYSTEM AND METHOD FOR DYNAMICALLY ENABLING COMPONENTS TO IMPLEMENT DATA TRANSFER SECURITY MECHANISMS."	✓	
	11	U.S. Patent Application Serial No. 10/324,625 filed 12/18/2003 by Edwards et al., titled "SYSTEM AND METHOD FOR ASSESSING COMPONENT CAPABILITIES."	✓	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.